NATIVE NATION EVENTS

Fifth
Tribal Financial Management & Leadership Symposium

September 30th-October 1st, 2019

Mutual of America Headquarters

New York, NY

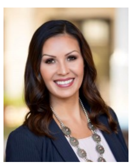
Monday, September 30th

8:00-8:50 AM **Registration & Networking Breakfast**

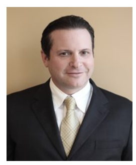
MUTUAL OF AMERICA
Your Retirement Company®

8:50-9:00 AM **Opening Remarks & Conference Co-Chair Remarks**

Justin O'Connor
President
Native Nation Events



Cynthia Pierce
Vice President, National Group Accounts
Native American Business
Mutual of America



Bernard Machlovitch
Executive Director
Morgan Stanley Private Wealth Management

Hans Maentz
Senior Vice President
Morgan Stanley Private Wealth Management

9:00-9:10 AM **Welcoming Remarks**

John R. Greed
Chairman, President & Chief Executive Officer
Mutual of America Life Insurance Company

General Sessions

9:10-9:30 AM **Keynote Address**

Presenter:

David Sickey
Chairman
Coushatta Tribe of Louisiana

Monday, September 30th

General Session

9:30-10:30 AM **Coming "Inside-Out" to Maximize Your Energy, Enthusiasm & Impact on Your Team!**

- Developing a pregame-postgame strategy that allows your daily performance to be maximized.
- Back to basics. Getting clarity with values and principles and clearly defining who your self identify is at its best version.
- Simplifying, codifying and ratifying each day to cast votes for who you are and the message you send to your community.
- When the game gets rugged and hostile who wins?
- The "G-Gem box" and the five essentials great leaders possess.
- We are all story tellers. Our interpretations are in our hands.
- K-A=0. Knowledge minus actions equals zero. Follow the Nike Lead!

Presenter:

Jim Stroker
Coach
Native Nation Events

10:30-10:45 AM **Morning Networking Break**

BlackRock

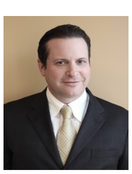
PERMANENS CAPITAL PARTNERS

General Session

10:45-11:45 AM **Macro Outlook on the Financial Markets**

- What is the economy telling us now?
- U.S. investor markets, where are they heading and how should tribes be investing for the long term?
- How are central banks influencing interest rates?
- How do tribes best diversify their investments?
- How have nontraditional/alternatives diversified their investments?
- How can tribes weather the current volatility in the markets (i.e. The "tweet affect")?

Presenters:



Bernard Machlovitch
Executive Director
Morgan Stanley Private Wealth Management

Hans Maentz
Senior Vice President
Morgan Stanley Private Wealth Management

Amir Lear, CFA
Chairman & CEO
Capital Management LLC
Mutual of America

Monday, September 30th

General Session

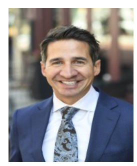
11:45-12:45 PM **The Importance of Asset Allocation in the Anticipated Recession**

- Identify key drivers of asset prices over the next year.
- The importance of ensuring your organization has a well-rounded portfolio, and steps to diversification.
- Common pitfalls that investors need to be aware of.
- Examine the correlation between large market drawdowns and recessions and distinguishing between signal and noise.
- Economic outlook and the variables we consider in coming to that conclusion.

Moderator: Jim Paulsen
Regional Vice President
Guggenheim Investments

Presenters:

Alexandra Terry
Deputy Director
Leveraging for the Tohono O'odham
Ki:Ki Housing Association



Kurtis Trevan
CEO
Gun Lake Investments

12:45-1:45 PM **Networking Lunch**

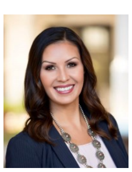
NEUBERGER | BERMAN

General Sessions

1:45-2:45 PM **Driving Portfolio Returns**

- Investing strategies for trust assets vs. retirement plan assets
- What NOT to do
- Should your portfolio include EFTs
- If you have a 3(38) advisor, what does that mean for your liability exposure?

Moderator:



Cynthia Pierce
Vice President, National Group Accounts
Native American Business
Mutual of America

Presenters:

Stephen Rich
President & Chief Equity Strategist
Capital Management LLC
Mutual of America

Joe Gaffoglio, CFA, CPA
Executive Vice President & COO
Capital Management LLC
Mutual of America

Monday, September 30th

2:45-3:00 PM **Afternoon Networking Break**

General Sessions

3:00-4:00 PM **Equity Investments in Current Market Conditions**

- Understanding the benefits of dividend growth investing.
- Hear first-hand which market sector today's leading financial professionals are investing in.
- Long/short equity- understanding the difference between the two.
- What strategies are used to avoid unwanted risk and the factors that drive returns?

4:00-5:00 PM **What to Do with Your Fixed Income Portfolio Based on Current Market Conditions**

- History suggests there may be benefits to staying put and "riding out" the cycle, instead of rushing for the exits or pursuing a market-timing strategy.
- Fixed income's performance during past interest rate cycles offers valuable context for investors now.
- The fixed income markets delivered positive results during recent Federal Reserve rate tightening cycles. Where do the experts see both the Fed and markets heading?
- Focusing on a single market's economic and interest rate cycle to generate returns is one dimensional, risky and restricts an investor's potential return.
- Global bonds offer a larger, more diversified opportunity set of return sources relative to single country portfolios.
- Why now is a unique time to invest in Global Bonds.

Presenter:

Hannah Kim, CFA
Vice President, Fixed Income Product Strategist
BlackRock

5:00 PM **Monday's Sessions Conclude**

Tuesday, October 1st

8:00-8:45 AM

Registration & Networking Breakfast

Morgan Stanley
PRIVATE WEALTH MANAGEMENT

General Sessions

8:45-9:45 AM **Strategies to Enhance Self Awareness & Create Teams on a Mission of Success**

- Billboards, heroes and eulogies. The framework to your enhanced self awareness statement.
- Feedback and blind spots. The 100 game.
- Seals, focus and boxes. Three practices of great leaders.
- Tattoos, triggers and thoughts.
- Simply, codify and ratify.
- Rule #6.

Presenter:

Jim Stroker
Coach
Native Nation Events

9:45-10:45 AM **Opportunity Zone Funds & the Potential Benefits for Your Tribe**

- The Opportunity Zones Program is a tax incentive for investors to deploy potentially billions of unrealized capital gains into economically distressed communities.
- Discuss Opportunity Zones and their challenges and benefits.
- Clarify the latest regulations and talk through opportunities and strategies for participation.
- How can you position yourself and utilize the Opportunity Zones incentive to bring capital to Indian Country?

Presenter:

Zach Atherton-Ely
VP of Strategic Growth Initiatives
Mille Lacs Corporate Ventures

10:45-11:00 AM

Morning Networking Break

GUGGENHEIM

Tuesday, October 1st

General Sessions

11:00-12:00 PM **Minor's Trust Funds**

- Best practices for the transition and set-up of a minor's trust program.
- Taxation of per capita.
- The latest legal and tax laws for Minors Per Capita Trusts.
- Structuring A Minors Trust Program that encourages educational pursuits.
- Minor's trust contributions and the conflict with SSA payments—new updates.
- Incorporating financial literacy into a minor's trust program.
- Effective early distribution policies – allowing early distributions that can really benefit the youth.
- Minor Trusts: Protecting Wealth for Future Generations.

Presenter:

Kip Rabin
Finance Director
Miccosukee Tribe of Indians of Florida

12:00-1:00 PM **Are Alternative Investments or Impact Investments an Option for Your Tribe?**

- Define alternative investments and what they include- venture capital, private equity, hedge funds, real estate investment trusts, commodities, etc.
- Define impact investments and how Tribes can benefit – investing Tribal assets in community development throughout Indian Country, seeking impact investment from others for your community needs.
- Understanding the difference and qualifications between liquid and non-liquid alternative assets.
- Long/short alternative investments vs the current market conditions?

Presenters:

Phil Glynn
President
Travois

Dennis Johnson
Chief Investment Officer
Ho-Chunk, Inc.

1:00 PM **Tribal Financial Management Symposium Concludes**

1:00 PM GRAND PRIZE RAFFLE DRAWING

*****Winner must be Present to Win*****